
Contents

PART ONE ■ OVERVIEW

Chapter 1 Introduction

- | | | | |
|----------------------------------|----|------------------------------------|----|
| 1.1 What Operating Systems Do | 4 | 1.9 Protection and Security | 30 |
| 1.2 Computer-System Organization | 7 | 1.10 Kernel Data Structures | 31 |
| 1.3 Computer-System Architecture | 12 | 1.11 Computing Environments | 35 |
| 1.4 Operating-System Structure | 19 | 1.12 Open-Source Operating Systems | 43 |
| 1.5 Operating-System Operations | 21 | 1.13 Summary | 47 |
| 1.6 Process Management | 24 | Exercises | 49 |
| 1.7 Memory Management | 25 | Bibliographical Notes | 52 |
| 1.8 Storage Management | 26 | | |

Chapter 2 Operating-System Structures

- | | | | |
|--|----|---------------------------------|-----|
| 2.1 Operating-System Services | 55 | 2.7 Operating-System Structure | 78 |
| 2.2 User and Operating-System Interface | 58 | 2.8 Operating-System Debugging | 86 |
| 2.3 System Calls | 62 | 2.9 Operating-System Generation | 91 |
| 2.4 Types of System Calls | 66 | 2.10 System Boot | 92 |
| 2.5 System Programs | 74 | 2.11 Summary | 93 |
| 2.6 Operating-System Design and Implementation | 75 | Exercises | 94 |
| | | Bibliographical Notes | 101 |

PART TWO ■ PROCESS MANAGEMENT

Chapter 3 Processes

- | | | | |
|--------------------------------|-----|--|-----|
| 3.1 Process Concept | 105 | 3.6 Communication in Client–Server Systems | 136 |
| 3.2 Process Scheduling | 110 | 3.7 Summary | 147 |
| 3.3 Operations on Processes | 115 | Exercises | 149 |
| 3.4 Interprocess Communication | 122 | Bibliographical Notes | 161 |
| 3.5 Examples of IPC Systems | 130 | | |

Chapter 4 Threads

- 4.1 Overview 163
- 4.2 Multicore Programming 166
- 4.3 Multithreading Models 169
- 4.4 Thread Libraries 171
- 4.5 Implicit Threading 177
- 4.6 Threading Issues 183
- 4.7 Operating-System Examples 188
- 4.8 Summary 191
 - Exercises 191
 - Bibliographical Notes 199

Chapter 5 Process Synchronization

- 5.1 Background 203
- 5.2 The Critical-Section Problem 206
- 5.3 Peterson’s Solution 207
- 5.4 Synchronization Hardware 209
- 5.5 Mutex Locks 212
- 5.6 Semaphores 213
- 5.7 Classic Problems of Synchronization 219
- 5.8 Monitors 223
- 5.9 Synchronization Examples 232
- 5.10 Alternative Approaches 238
- 5.11 Summary 242
 - Exercises 242
 - Bibliographical Notes 258

Chapter 6 CPU Scheduling

- 6.1 Basic Concepts 261
- 6.2 Scheduling Criteria 265
- 6.3 Scheduling Algorithms 266
- 6.4 Thread Scheduling 277
- 6.5 Multiple-Processor Scheduling 278
- 6.6 Real-Time CPU Scheduling 283
- 6.7 Operating-System Examples 290
- 6.8 Algorithm Evaluation 300
- 6.9 Summary 304
 - Exercises 305
 - Bibliographical Notes 311

Chapter 7 Deadlocks

- 7.1 System Model 315
- 7.2 Deadlock Characterization 317
- 7.3 Methods for Handling Deadlocks 322
- 7.4 Deadlock Prevention 323
- 7.5 Deadlock Avoidance 327
- 7.6 Deadlock Detection 333
- 7.7 Recovery from Deadlock 337
- 7.8 Summary 339
 - Exercises 339
 - Bibliographical Notes 346

PART THREE ■ MEMORY MANAGEMENT**Chapter 8 Main Memory**

- 8.1 Background 351
- 8.2 Swapping 358
- 8.3 Contiguous Memory Allocation 360
- 8.4 Segmentation 364
- 8.5 Paging 366
- 8.6 Structure of the Page Table 378
- 8.7 Example: Intel 32 and 64-bit Architectures 383
- 8.8 Example: ARM Architecture 388
- 8.9 Summary 389
 - Exercises 390
 - Bibliographical Notes 394

Chapter 9 Virtual Memory

- 9.1 Background 397
- 9.2 Demand Paging 401
- 9.3 Copy-on-Write 408
- 9.4 Page Replacement 409
- 9.5 Allocation of Frames 421
- 9.6 Thrashing 425
- 9.7 Memory-Mapped Files 430
- 9.8 Allocating Kernel Memory 436
- 9.9 Other Considerations 439
- 9.10 Operating-System Examples 445
- 9.11 Summary 448
 - Exercises 449
 - Bibliographical Notes 461

PART FOUR ■ STORAGE MANAGEMENT**Chapter 10 Mass-Storage Structure**

- 10.1 Overview of Mass-Storage Structure 467
- 10.2 Disk Structure 470
- 10.3 Disk Attachment 471
- 10.4 Disk Scheduling 472
- 10.5 Disk Management 478
- 10.6 Swap-Space Management 482
- 10.7 RAID Structure 484
- 10.8 Stable-Storage Implementation 494
- 10.9 Summary 496
 - Exercises 497
 - Bibliographical Notes 501

Chapter 11 File-System Interface

- 11.1 File Concept 503
- 11.2 Access Methods 513
- 11.3 Directory and Disk Structure 515
- 11.4 File-System Mounting 526
- 11.5 File Sharing 528
- 11.6 Protection 533
- 11.7 Summary 538
 - Exercises 539
 - Bibliographical Notes 541

Chapter 12 File-System Implementation

- 12.1 File-System Structure 543
- 12.2 File-System Implementation 546
- 12.3 Directory Implementation 552
- 12.4 Allocation Methods 553
- 12.5 Free-Space Management 561
- 12.6 Efficiency and Performance 564
- 12.7 Recovery 568
- 12.8 NFS 571
- 12.9 Example: The WAFL File System 577
- 12.10 Summary 580
 - Exercises 581
 - Bibliographical Notes 585

Chapter 13 I/O Systems

- 13.1 Overview 587
- 13.2 I/O Hardware 588
- 13.3 Application I/O Interface 597
- 13.4 Kernel I/O Subsystem 604
- 13.5 Transforming I/O Requests to Hardware Operations 611
- 13.6 STREAMS 613
- 13.7 Performance 615
- 13.8 Summary 618
 - Exercises 619
 - Bibliographical Notes 621

PART FIVE ■ PROTECTION AND SECURITY

Chapter 14 Protection

- | | | | |
|--|-----|----------------------------------|-----|
| 14.1 Goals of Protection | 625 | 14.7 Revocation of Access Rights | 640 |
| 14.2 Principles of Protection | 626 | 14.8 Capability-Based Systems | 641 |
| 14.3 Domain of Protection | 627 | 14.9 Language-Based Protection | 644 |
| 14.4 Access Matrix | 632 | 14.10 Summary | 649 |
| 14.5 Implementation of the Access Matrix | 636 | Exercises | 650 |
| 14.6 Access Control | 639 | Bibliographical Notes | 652 |

Chapter 15 Security

- | | | | |
|--|-----|--|-----|
| 15.1 The Security Problem | 657 | 15.8 Computer-Security Classifications | 698 |
| 15.2 Program Threats | 661 | 15.9 An Example: Windows 7 | 699 |
| 15.3 System and Network Threats | 669 | 15.10 Summary | 701 |
| 15.4 Cryptography as a Security Tool | 674 | Exercises | 702 |
| 15.5 User Authentication | 685 | Bibliographical Notes | 704 |
| 15.6 Implementing Security Defenses | 689 | | |
| 15.7 Firewalling to Protect Systems and Networks | 696 | | |

PART SIX ■ ADVANCED TOPICS

Chapter 16 Virtual Machines

- | | | | |
|--|-----|---|-----|
| 16.1 Overview | 711 | 16.6 Virtualization and Operating-System Components | 728 |
| 16.2 History | 713 | 16.7 Examples | 735 |
| 16.3 Benefits and Features | 714 | 16.8 Summary | 737 |
| 16.4 Building Blocks | 717 | Exercises | 738 |
| 16.5 Types of Virtual Machines and Their Implementations | 721 | Bibliographical Notes | 739 |

Chapter 17 Distributed Systems

- | | | | |
|---|-----|-------------------------------|-----|
| 17.1 Advantages of Distributed Systems | 741 | 17.6 An Example: TCP/IP | 760 |
| 17.2 Types of Network-based Operating Systems | 743 | 17.7 Robustness | 762 |
| 17.3 Network Structure | 747 | 17.8 Design Issues | 764 |
| 17.4 Communication Structure | 751 | 17.9 Distributed File Systems | 765 |
| 17.5 Communication Protocols | 756 | 17.10 Summary | 773 |
| | | Exercises | 774 |
| | | Bibliographical Notes | 777 |

PART SEVEN ■ CASE STUDIES

Chapter 18 The Linux System

| | | | |
|-------------------------|-----|---------------------------------|-----|
| 18.1 Linux History | 781 | 18.8 Input and Output | 815 |
| 18.2 Design Principles | 786 | 18.9 Interprocess Communication | 818 |
| 18.3 Kernel Modules | 789 | 18.10 Network Structure | 819 |
| 18.4 Process Management | 792 | 18.11 Security | 821 |
| 18.5 Scheduling | 795 | 18.12 Summary | 824 |
| 18.6 Memory Management | 800 | Exercises | 824 |
| 18.7 File Systems | 809 | Bibliographical Notes | 826 |

Chapter 19 Windows 7

| | | | |
|---|-----|---------------------------|-----|
| 19.1 History | 829 | 19.6 Networking | 869 |
| 19.2 Design Principles | 831 | 19.7 Programmer Interface | 874 |
| 19.3 System Components | 838 | 19.8 Summary | 883 |
| 19.4 Terminal Services and Fast User Switching | 862 | Exercises | 883 |
| 19.5 File System | 863 | Bibliographical Notes | 885 |

Chapter 20 Influential Operating Systems

| | | | |
|------------------------|-----|---|-----|
| 20.1 Feature Migration | 887 | 20.10 TOPS-20 | 901 |
| 20.2 Early Systems | 888 | 20.11 CP/M and MS/DOS | 901 |
| 20.3 Atlas | 895 | 20.12 Macintosh Operating System and Windows | 902 |
| 20.4 XDS-940 | 896 | 20.13 Mach | 902 |
| 20.5 THE | 897 | 20.14 Other Systems | 904 |
| 20.6 RC 4000 | 897 | Exercises | 904 |
| 20.7 CTSS | 898 | Bibliographical Notes | 904 |
| 20.8 MULTICS | 899 | | |
| 20.9 IBM OS/360 | 899 | | |

PART EIGHT ■ APPENDICES

Appendix A BSD UNIX

| | | | |
|--------------------------|-----|--------------------------------|-----|
| A.1 UNIX History | A1 | A.7 File System | A24 |
| A.2 Design Principles | A6 | A.8 I/O System | A32 |
| A.3 Programmer Interface | A8 | A.9 Interprocess Communication | A36 |
| A.4 User Interface | A15 | A.10 Summary | A40 |
| A.5 Process Management | A18 | Exercises | A41 |
| A.6 Memory Management | A22 | Bibliographical Notes | A42 |

Appendix B The Mach System

| | | | |
|--------------------------------|-----|--------------------------|-----|
| B.1 History of the Mach System | B1 | B.6 Memory Management | B18 |
| B.2 Design Principles | B3 | B.7 Programmer Interface | B23 |
| B.3 System Components | B4 | B.8 Summary | B24 |
| B.4 Process Management | B7 | Exercises | B25 |
| B.5 Interprocess Communication | B13 | Bibliographical Notes | B26 |